Compliance and Non-Diversion Terms

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Customer declaration for sanctions and export-control review. Disclose uncertainties so they can be reviewed; do not guess. These terms are a Lumina customer undertaking, not a government-issued form or screening result.

1. Scope and authority

These Compliance and Non-Diversion Terms are separate from Lumina Aerotech's Terms and Conditions of Sale and apply to goods, software, technology and services obtained from Lumina. The signer confirms authority to make these commitments for the customer. Applicable sanctions and export-control requirements govern each transaction; this declaration does not grant an export license or establish regulatory clearance.

2. Accurate identity and ownership

The customer will provide accurate company, principal, direct-owner and ultimate-owner information, including corporate ownership chains, countries and ownership percentages, and identify information that is incomplete or unknown. The customer will disclose known sanctions designations, export restrictions and relevant ownership interests rather than conceal them. Lumina may request supporting records to assess direct or indirect ownership and aggregate interests of blocked persons.

3. Lawful transactions

The customer will comply with sanctions and export controls applicable to its transactions, including U.S. Treasury OFAC sanctions and the Export Administration Regulations, and the International Traffic in Arms Regulations where applicable. The customer will not use Lumina's goods or services in a prohibited transaction, or for a restricted party, destination or end use without all legally required authorizations. Any claimed authorization must be disclosed to Lumina for review before proceeding.

4. Non-diversion and onward transfers

The customer will not directly or indirectly export, reexport, sell, transfer, route or otherwise divert Lumina-supplied items in violation of applicable law. The customer will not conceal the true purchaser, beneficial owners, end user, ultimate destination or intended use, or use intermediaries, transshipment, misleading documents or third-party payments to evade restrictions. For onward supply, the customer will communicate applicable restrictions to recipients and obtain appropriate end-user and destination information before a transfer that requires it.

5. End use, destinations and intermediaries

The customer will accurately identify intended uses, known end users, ultimate destinations and relevant intermediaries, including freight forwarders, agents and third-party payers. Military, government, nuclear, missile, chemical or biological-weapons-related uses, and other potentially restricted activities, must be disclosed for review. If an end user or destination is not yet known, the customer will state that fact and provide transaction-specific information when requested and before any shipment or transfer requiring that information or authorization.

6. Changes and cooperation

The customer will promptly notify Lumina of changes to ownership, restrictions, end users, destinations, intended uses or transaction parties, and of suspected unauthorized diversion involving Lumina-supplied items. The customer will provide reasonably requested records and cooperate in resolving inconsistencies or compliance concerns. The customer will not proceed with a prohibited transaction while a required authorization or material compliance question remains unresolved.

7. Review and transaction decisions

Lumina may request additional information, decline a proposed transaction or suspend its processing while evaluating applicable restrictions, authorizations or unresolved concerns, subject to applicable law. Account setup, receipt of documents, an earlier screening result or acceptance of this declaration does not approve any particular export, reexport, transfer, shipment or credit request. Each transaction remains subject to applicable requirements at the time it occurs.

8. Separate acceptance and signature

The signer confirms that the information supplied is accurate and complete to the best of their knowledge after reasonable inquiry, with uncertainties expressly disclosed. The customer separately accepts these Compliance and Non-Diversion Terms and the non-diversion undertaking. The electronic signature on the completed onboarding form applies to this declaration as well as the separately accepted sales terms. A copy of this version and the submitted answers will be retained with the signed record.